

# FILE COPY



## CERTIFICATE OF INCORPORATION OF A PRIVATE LIMITED COMPANY

Company Number **13874941**

The Registrar of Companies for England and Wales, hereby certifies that

**WEALTHFRONT INVEST**

is this day incorporated under the Companies Act 2006 as a private company, that the company is limited by shares, and the situation of its registered office is in England and Wales

Given at Companies House, Cardiff, on **3rd March 2008**



\* 13874941 \*



**Companies House**



THE OFFICIAL SEAL OF THE  
REGISTRAR OF COMPANIES



Companies House

**IN01** (ef)

**Application to register a company**



Received for filing in Electronic Format on the: **13874941**

*X6IF32IX*

*Company Name in full:*

**WEALTHFRONT INVESTMENT  
LIMITED**

*Company Type:*

**Private company limited by shares**

*Situation of  
Registered Office:*

**England and Wales**

*Proposed Registered  
Office Address:*

**Seymour Chambers, 92 London  
Road, Liverpool, England, L3 5NW,  
UNITED KINGDOM**

*Sic Codes:*

**13874941**

## *Proposed Officers*

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*Company Director* 1

*Type:* **Person**

*Full Forename(s):* **MR ANTHONY EDWARD**

*Surname:* **KEELING**

*Service Address:* **4 PENNER ROAD  
HAVANT  
UNITED KINGDOM PO9 1QH**

*Country/State Usually  
Resident:* **UNITED KINGDOM**

*Date of Birth:* **\*\*/09/1972** *Nationality:* **BRITISH**

*Occupation:* **DIRECTOR**

*The subscribers confirm that the person named has consented to act as a director.*

*Type:* **Person**

*Full Forename(s):* **MR STEPHEN ALEXANDER**

*Surname:* **FORBES**

*Service Address:* **INVERALMOND HOUSE 200 DUNKELD ROAD  
PERTH  
UNITED KINGDOM PH1 3AQ**

*Country/State Usually Resident:* **UNITED KINGDOM**

*Date of Birth:* **\*\*/04/1970** *Nationality:* **BRITISH**

*Occupation:* **DIRECTOR**

*The subscribers confirm that the person named has consented to act as a director.*

## *Statement of Capital (Share Capital)*

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<i>Class of Shares:</i>	<b>ORDINARY</b>	<i>Number allotted</i>	<b>1</b>
<i>Currency:</i>	<b>GBP</b>	<i>Aggregate nominal value:</i>	<b>1</b>
<i>Prescribed particulars</i>			

**VOTING RIGHTS - SHARES RANK EQUALLY FOR VOTING PURPOSES. ON A SHOW OF HANDS EACH MEMBER SHALL HAVE ONE VOTE AND ON A POLL EACH MEMBER SHALL HAVE ONE VOTE PER SHARE HELD. DIVIDEND RIGHTS - EACH SHARE RANKS EQUALLY FOR ANY DIVIDEND DECLARED. DISTRIBUTION RIGHTS ON A WINDING UP - EACH SHARE RANKS EQUALLY FOR ANY DISTRIBUTION MADE ON A WINDING UP. REDEEMABLE SHARES - THE SHARES ARE NOT REDEEMABLE.**

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### **Statement of Capital (Totals)**

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<i>Currency:</i>	<b>GBP</b>	<i>Total number of shares:</i>	<b>1</b>
		<i>Total aggregate nominal value:</i>	<b>1</b>
		<i>Total aggregate unpaid:</i>	<b>0</b>

## *Initial Shareholdings*

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<i>Name:</i>	<b>SSE PLC</b>		
<i>Address</i>	<b>INVERALMOND HOUSE 200</b>	<i>Class of Shares:</i>	<b>ORDINARY</b>
	<b>DUNKELD ROAD</b>	<i>Number of shares:</i>	<b>1</b>
	<b>PERTH</b>	<i>Currency:</i>	<b>GBP</b>
	<b>PERTHSHIRE</b>	<i>Nominal value of each</i>	<b>1</b>
	<b>UNITED KINGDOM</b>	<i>share:</i>	
	<b>PH1 3AQ</b>	<i>Amount unpaid:</i>	<b>0</b>
		<i>Amount paid:</i>	<b>1</b>

## ***Persons with Significant Control (PSC)***

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### **Statement of initial significant control**

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**On incorporation, there will be someone who will count as a Person with Significant Control (either a registerable person or relevant legal entity (RLE)) in relation to the company**

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## ***Relevant Legal Entity (RLE) details***

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*Company Name:* **SSE PLC**

*Service Address:* **INVERALMOND HOUSE 200 DUNKELD ROAD  
PERTH  
PERTHSHIRE  
UNITED KINGDOM  
PH1 3AQ**

*Legal Form:* **PUBLIC LIMITED COMPANY**

*Governing Law:* **SCOTTISH**

*Register Location:* **UNITED KINGDOM**

*Country/State:* **UNITED KINGDOM**

*Registration Number:* **SC117119**

*Nature of control*

**The relevant legal entity holds, directly or indirectly, 75% or more of the shares in the company.**

*Nature of control*

**The relevant legal entity holds, directly or indirectly, 75% or more of the voting rights in the company.**

*Nature of control*

**The relevant legal entity has the right, directly or indirectly, to appoint or remove a majority of the board of directors of the company.**

## ***Statement of Compliance***

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*I confirm the requirements of the Companies Act 2006 as to registration have been complied with.*

*Name:* **SSE PLC**  
*Authenticated* **YES**

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## ***Authorisation***

*Authoriser Designation:* **subscriber** *Authenticated* **YES**

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